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Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
PTO/SB/05 (03-01)

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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. **500.42875X00**
First Inventor **KANOUDA, AKIHIKO**
Title **UNINTERRUPTIBLE POWER SYSTEM**
Express Mail Label No.

APPLICATION ELEMENTS

SEE MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification
(preferred arrangement set forth below) [Total Pages: **29**]
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Pages: **6**]
5. Oath or Declaration [Total Pages: **4**]
 - a. ☒ Newly executed (original or copy)
 - b. ☐ Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 18 completed)
 - i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s)
Named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)
6. ☐ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
 - a. ☐ Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ paper
 - c. ☐ Statements verifying identity of above copies

21909 U.S. PTO
10/623681
07/22/03



ACCOMPANYING APPLICATION PARTS

9. ☒ Assignment Papers (cover sheet & documents(s))
10. ☐ 37 CFR 3.73(b) Statement ☒ Power of Attorney
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☒ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122
(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☒ Other: **Figs. 1-6, Credit Card Payment Form, Information Disclosure Sheet Under 37 CFR 1.56 wirefs.**

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:
☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.:

Prior application information:

Examiner: _____

Group Art Unit: _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label **020457**
(Insert Customer No. or Attach bar code label here) or ☐ Correspondence address below

Name **ANTONELLI, TERRY, STOUT & KRAUS, LLP**

Address _____

City _____

Country _____ State _____ Zip Code _____

Name **Melvin Kraus** Telephone **(703) 312-6600** Fax **(703) 312-6666**

Signature **Melvin Kraus** Registration No. (Attorney/Agent) **22,466**

Date **July 22, 2003**

Burden Hour Statement: This form is estimated to take .2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27TOTAL AMOUNT OF PAYMENT (\$)**790.00****Complete if Known**

Application Number
Filing Date **July 22, 2003**
First Named Inventor **KANOUDA, AKIHIKO**
Examiner Name
Art Unit
Attorney Docket No. **500.42875X00**

METHOD OF PAYMENT (check all that apply)☐ Check ☒ Credit Card ☐ Money Order ☐ Other ☐ None☒ Deposit Account:Deposit Account Number **01-2135**Deposit Account Name **Antonelli, Terry, Stout & Kraus, LLP**

The Commissioner authorized to: (check all that apply)

☐ Charge fees indicated below ☒ Credit any overpayments
☒ Charge any additional fee(s) during the pendency of this application☐ Charge fees indicated below, except for the filing fee to the above-identified deposit account.**FEE CALCULATION****1. BASIC FILING FEE**

Large Fee Code	Entity Fee (\$)	Small Entity Fee Code	Fee Description	Fee Paid
1001	750	2001 375	Utility filing fee	750.00
1002	330	2002 165	Design filing fee	
1003	520	2003 260	Plant filing fee	
1004	750	2004 375	Reissue filing fee	
1005	160	2005 80	Provisional filing fee	

SUBTOTAL (1) 750.00**2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE**

Total Claims	Extra Claims	Fee from below	Fee Paid
18	-20** = 0	x 18	= 0
Indep. Claims	3	-3** = 0	x 84 = 0
Multiple Dependent		280	= 0

Large Fee Code	Entity Fee (\$)	Small Entity Fee Code	Fee Description
1202	18	2202 9	Claims in excess of 20
1201	84	2201 42	Independent claims in excess of 3
1203	280	2203 140	Multiple dependent claim, if not paid
1204	84	2204 42	** Reissue independent claims over original patent
1205	18	2205 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) \$ 0.00

**or number previously paid, if greater; For Reissues, see above.


3. ADDITIONAL FEES

Large Fee Code	Entity Fee (\$)	Small Entity Fee Code	Fee Description	Fee Paid
1051	130	2051 65	Surcharge - late filing fee or oath	
1052	50	2052 25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053 130	Non-English specification	
1812	2,520	1812 2,520	For filing a request for <i>ex parte</i> reexamination	
1804	920*	1804 920*	Requesting publication of SIR prior to Examination action	
1805	1,840*	1805 1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251 55	Extension for reply within first month	
1252	410	2252 205	Extension for reply within second month	
1253	930	2253 465	Extension for reply within third month	
1254	1,450	2254 725	Extension for reply within fourth month	
1255	1,970	2255 985	Extension for reply within fifth month	
1401	320	2401 160	Notice of Appeal	
1402	320	2402 160	Filing a brief in support of an appeal	
1403	280	2403 140	Request for oral hearing	
1451	1,510	1451 1,510	Petition to institute a public use proceeding	
1452	110	2452 55	Petition to revive - unavoidable	
1453	1,300	2453 650	Petition to revive - unintentional	
1501	1,300	2501 650	Utility issue fee (or reissue)	
1502	470	2502 235	Design issue fee	
1503	630	2503 315	Plant issue fee	
1406	130	1460 130	Petitions to the Commissioner	
1807	50	1807 50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806 180	Submission of Information Disclosure Stmt	
8021	40	8021 40	Recording each patent assignment per property (times number of properties)	40.00
1809	750	2809 375	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	750	2810 375	For each additional invention to be examined (37 CFR § 1.129(b))	
1801	750	2801 375	Request for Continued Examination (RCE)	
1802	900	1802 900	Request for expedited examination of a design application	

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)**40.00****SUBMITTED BY****Complete (if applicable)**

Name (Print/Type)	Malvin Kraus	Registration No. (Attorney/Agent)	22,466	Telephone	703-312-6600
Signature		Date	July 22, 2003		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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